

## **CLIENT GUIDANCE TO OPENING AN ACCOUNT TO TRADE IN LEVERAGED INSTRUMENTS**

Thank you for your interest in opening an account to trade in Leveraged Instruments.

We are required by regulatory guidelines and/or law to request certain information before we can provide our services to you. This is set out under the heading "Client Profile".

This requirement is designed to ensure that we get to know you better so that we are in a position to initiate the provision to you of generally suitable products and services.

The Monetary Authority of Singapore, our regulator, requires us to ask certain questions as part of a procedural process to get to know you better so as to better enable us to ensure fair treatment of you as a customer of our services.

It is important therefore, that you take some time to fully understand the nature and purpose of the questions posed.

### **Instructions:**

- **Read all relevant risk warnings and disclosures provided to you with respect to Leveraged Instruments by us (including but not limited to Form 13) and ensure that you have properly understood them BEFORE you complete the Client Profile Below or proceed with your application for an Account to trade in Leveraged Instruments.**
- Indicate your risk appetite and trading objectives when opening an Account to trade in Leveraged Instruments so that we can, where relevant, ensure that no Leveraged Instrument unsuitable for persons in your class generally are marketed to you by us or a representative of ours.
- Disclose your previous investment experience by completing the questionnaire below. This will help us to understand your current knowledge of financial products and anticipate the level and nature of activity you are likely to undertake.
- Ensure all sections of this form are duly completed and accurate. We need you to provide answers to all questions posed for the answers to be of any value for use by us for the purpose intended. Any question left unanswered will have a material adverse impact on any answers you do provide, rendering the answers provided effectively useless to us. In such a case, you will be deemed to have provided no answers at all. We will in such event be in no position to properly make any suitability determination. In such event, please note the **Warning Notice** above.
- Make sure that you have also read and understood (i) the terms governing your Account through which you will trade Leveraged Instruments (if allowed to be opened and maintained by us) and our services (see, without limitation, the Reminder above) Agreement; and (ii) the Risk Warning Notices applicable to Leveraged Instruments generally and (where relevant) to specific Leveraged Instruments; and (iii) all relevant product information or terms with respect to Leveraged Instruments generally as well as to the specific Leveraged Instruments you are permitted pursuant to your request/application to trade in.

### **Warning Notice:**

***While you are not obliged to answer the questions and so provide us with the information we need for the purposes stated above, the non-provision of the answers to the questions posed will mean that you are relying completely and solely on yourself to determine both whether the Leveraged Instruments you choose to give us orders for (assuming we accept your application to open an account to trade in such instruments) are BOTH generally and specifically suitable for you to trade in.***